

FY 2011 OIG Recovery Act Plan Overview

OIG Name:	HUD-OIG
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OIG Broad Recovery Act Goals:	HUD-OIG will focus on key financial decisions and processes used by HUD to disperse and control Recovery Act funding. This includes conducting audits involving fund allocations and grantee capacity; evaluating front end risk assessments on both HUD and funding recipients, and performing audits of HUD systems controls and effectiveness. We will also liaise with federal and state prosecutors, as well as law enforcement counterparts enlisting them to participate in joint efforts to combat fraud in HUD programs.
OIG Broad Training and Outreach Recovery Act Goals:	Our outreach efforts will include technical advice to grantees, sub-grantees, industry groups, building inspectors, state/local governments, not-for-profit leaders, state and local Inspectors General, auditors, and Attorneys General. We will conduct training with HUD staff and contractors stressing fraud prevention, fraud awareness and fraud indicators.
OIG Recovery Act Risk Assessment Process:	We will continue to confer with HUD program staff on evaluation of front end risk assessments. OIG will audit HUD's risk assessments for compliance with OMB guidelines. Based on prior audit work, we will conduct risk assessments at the local level to identify grantees for more in-depth capacity reviews. Risk assessment and capacity reviews will be analyzed with Federal/state/local prosecutors for investigative/prosecutable strategies.

OIG Recovery Act Funds:	\$15,000,000
Expiration Date of OIG Recovery Act Funds:	FY2013

OIG Recovery Act Funds Allocated to Contracts:	No
Purpose of Recovery Act Contracts:	N/A
Types of Recovery Act Contracts Awarded to Date:	N/A

Link to OIG Recovery Act Work Plan:	http://www.hud.gov/offices/oig/recovery/index.html
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OIG FY 2011 Recovery Act Work Plan

Agency	Program Area	Recovery Act Funds Associated w/Program Area	Type of Review	Entity Performing Review	Project Title	Background	Objective	Review Included on Prior Recovery Act Plan (Y/N)	Expected Quarter Work Begins	Expected Quarter(s) Reports Issued	Expected Number of Reports
HUD	Public and Indian Housing Housing Capital Fund	\$4,000,000,000	Performance	OIG Staff	Performance Reviews	The Recovery Act includes an appropriation for the Public Housing Capital Fund, to be used for capital and management activities for public housing agencies (PHAs), as authorized under Section 9 of the United States Housing Act of 1937 ("the 1937 Act"), as amended.	Using risk assessments, we will select several public housing authorities to determine whether the authorities' expenditures of ARRA funds for compliance with PIH and ARRA regulations.	No	Through out FY	Through out FY	16
HUD	Public and Indian Housing Native American Block Housing Grants	\$510,000,000	Performance	OIG Staff	Performance Reviews	The purpose of this program is (1) to assist and promote affordable housing activities;(2) to ensure better access to private mortgage markets;(3) to coordinate activities to provide housing for Indian tribes;(4) to plan for and integrate infrastructure resources for Indian tribes; and (5) to promote the development of private capital markets.	Using risk assessments, we will select several recipients to review the expenditures of ARRA funds for compliance with ONAP block grant and ARRA regulations.	No	Through out FY	Through out FY	6
HUD	Multifamily Housing Project Base Rental Assistance	\$2,000,000,000	Other	OIG Staff	Liaison with State Housing Credit Agencies	This program serves low-income households by providing monthly rental assistance payments to eligible housing projects. The Recovery funding supplements funding to existing contracts, enabling a continuation of payments during FY2009 and 2010. No new assistance will be awarded under this initiative. This program is unlike other ARRA programs in that it is not a increase in program activity, but a change in the manner in which projects are funded.	No FY2011 expenditures, thus we are not planning any audits. Investigations will identify fraud opportunities in the manner of new program delivery.	Yes	Through out FY	Through out FY	
HUD	Multifamily Housing Green Retrofit Investments	\$250,000,000	Performance	OIG Staff	Performance Reviews	The objective of this new Green Retrofit Program is to make loans, make grants, and take a variety of other actions to facilitate utility-saving and other green building retrofits, in certain existing HUD-assisted multifamily housing, subject to agreement between HUD and the Owner.	Using risk assessments, we will select several projects to determine whether the expenditures of ARRA funds for compliance with FHA and ARRA regulations.	No	Through out FY	Through out FY	7
			Other		Reviews of grantees abilities to manage funds	Grantees are susceptible to contract fraud schemes	Conduct outreach to grantees, sub-grantees, and industry groups addressing fraud prevention.	Yes	Through out FY	Through out FY	
HUD	Community Planning and Development Community Development Block Grants	\$1,000,000,000	Performance	OIG Staff	Performance Reviews	CDBG funds are intended to assist states, local governments and the Insular Areas in funding a wide range of community development activities eligible under section 105 of the Housing and Community Development Act of 1974.	Using risk assessments, we will select several CDBG recipients to review the recipients' expenditures of ARRA funds for compliance with CDBG and ARRA regulations.	No	Through out FY	Through out FY	15

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HUD	Community Planning and Development Neighborhood Stabilization Plan	\$2,000,000,000	Performance	OIG Staff	Performance Reviews	The Neighborhood Stabilization Program (NSP) provides assistance for the redevelopment of abandoned and foreclosed homes and residential properties in order that such properties be returned to productive use or made available for redevelopment purposes	Using risk assessments, we will select several NSP recipients to review expenditures of ARRA funds for compliance with NSP and ARRA regulations.	No	Through out FY	Through out FY	15
			Administrative / Financial	OIG Staff	Outreach to Law Enforcement Counterparts and State Grantees		Conduct liaison activity with federal/state prosecutors, law enforcement and outreach to state grantees.	Yes	Through out FY	Through out FY	
HUD	Community Planning and Development HOME Investment Partnership (Tax Credits)	\$1,500,000,000	Performance	OIG Staff	Performance Reviews	Tax Credit Assistance Program (TCAP), a grant program to provide funds for capital investments in stalled Low-Income Housing Tax Credit (LIHTC) projects.	Using risk assessments, we will select one or more TCAP recipients to review expenditures of ARRA funds for compliance with HUD and ARRA regulations.	No	Through out FY	Through out FY	7
			Other		Outreach to Law Enforcement Counterparts and State Grantees	ARRA Requires Department Front End Risk Assessment	Educate law enforcement on complexity of program and help grantees identify fraud indicators.	Yes	Through out FY	Through out FY	
HUD	Community Planning and Development Homeless Prevention Fund	\$2,250,000,000	Performance	OIG Staff	Performance Reviews	The purpose of the Homelessness Prevention and Rapid Re-Housing Program (HPRP) is to provide homelessness prevention assistance for households who would otherwise become homeless and rapid re-housing assistance for persons who are homeless.	Using risk assessments, we will select several grant recipients to review expenditures of ARRA funds for compliance with CPD and ARRA regulations.	No	Through out FY	Through out FY	16
			Other		Outreach to Law Enforcement Counterparts and State Grantees		Educate law enforcement on complexity of program and help grantees identify fraud indicators.	Yes	Through out FY	Through out FY	
HUD	Office of Healthy Homes and Lead Hazard	\$100,000,000	Performance	OIG Staff	Performance Reviews	This program assists jurisdictions with the greatest lead-based paint hazard control needs, in undertaking programs for the identification and control of lead-based paint hazards in eligible privately owned rental and owner-occupied housing units.	Using risk assessments, we will select one or more grant recipients to review expenditures of ARRA funds for compliance with OHHLC and ARRA regulations.	No	Through out FY	Through out FY	5